DT06 d PCT/PTO 0 1 MAR 2005

PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

CAPEWELL et al

International Appln. No.: PCT/GB2003/003514

Filed: Concurrently herewith

Attorney Dkt. No.: 58504.00037

For: FORMATION OF LATTICE-TUNING SEMICONDUCTOR SUBSTRATES

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention: PCT DO/EO/US

March 1, 2005

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R.1.97(b).

The references cited in the attached Form PTO-1449 were cited in the enclosed International Search Report issued by the European Patent Office in connection with the above international application. It is understood that the European Patent Office has sent a copy of each of the cited references to the U.S. Patent and Trademark Office. However, for the Examiner's convenience, the cited references are attached hereto. Also enclosed is a UK Search Report and a copy of each reference cited therein.

In view of the above, all requirements of 37 CFR 1.97 and all official guidelines pertaining to Information Disclosure Statements have been complied with, and it is

therefore respectfully requested that the Examiner consider the references and make them of record in this application.

In the event that there are any fees due with respect to the filing of this paper, please charge Counsel's Deposit Account No. 50-2222.

Respectfully submitted,

egistration No. 33,125

**Customer Number 32294** 

SQUIRE, SANDERS & DEMPSEY LLP 14<sup>TH</sup> Floor

8000 Towers Crescent Drive

Tysons Corner, Virginia 22182-2700

Telephone: 703-720-7800

Fax: 703-720-7802

DHG:scc

Enclosures: PTO-1449 Form

International Search Report

**UK Search Report** 11 References

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Sheet 1 of 1

FORM PTO-1449

## DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

New Application 58504.00037

APPLICANT

CAPEWELL et al

FILING DATE

GROUP

March 1, 2005

Not yet assigned

### U.S. PATENT DOCUMENTS

<u>~'</u>			U.S. TATE	11 DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	2002/0017642	02/14/02	Mizushima et al			
	AB	5,410,167	04/25/95	Saito	:		
	AC	5,238,869	08/24/93	Shichijo et al			
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	AE	5,272,105	12/21/93	Yacobi et al			
	AF						

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO PART.		
AG	WO 01/01465	01/04/01	WIPO			xx		
AH	EP 1 052 684 A1	11/15/00	European			xx		
AI	GB 2 215 514	09/20/89	United Kingdom			xx		
AJ	WO 99/14804	03/25/99	WIPO			xx		
AK	WO 99/38201	07/29/99	WIPO			xx		

AL	"Molecular beam epitaxy of strained Si <sub>1.x</sub> Ge <sub>x</sub> layers on patterned substrates" 1993-06-01, Journal of Crystal Growth, North-Holland Publishing Co., Vol. 130, nos. 3/4, pages 611-616, Bugiel et al.
AM	
AN	
EXAMINER	DATE CONSIDERED

\*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.